

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cary L. Bates, et al. : Date: November 24, 2003  
Group Art Unit: Unassigned : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: Unassigned : Dept. 917, Bldg. 006-1  
Filed: Herewith : 3605 Highway 52 North  
Title: METHOD AND APPARATUS FOR : Rochester, MN 55901  
EFFICIENTLY DEVELOPING ENCODED  
INSTRUCTIONS BY TRACKING  
MULTIPLE UNVERIFIED INSTANCES  
OF REPETITIVE CODE SEGMENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a

Serial No. (Unassigned)  
Docket No. ROC920030211US1

search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

**EXPRESS MAIL CERTIFICATE**

Express Mail No. EU163621370US  
Date: November 24 , 2003

I certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

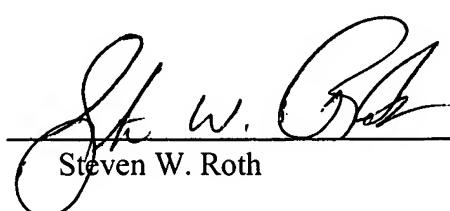
Susan K. Berge

Susan K. Berge

Respectfully submitted,

CARY L. BATES, et al.

By



Steven W. Roth

Attorney Reg. No. 34,712  
Telephone: 1-507-253-1600

Serial No. (Unassigned)  
Docket No. ROC920030211US1

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: Unassigned  
Filing Date: Herewith  
First Named Inventor: Cary L. Bates  
Art Unit: Unassigned  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920030211US1

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance **and** not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 2 of 2

Application Number.: Unassigned  
Filing Date: Herewith  
First Named Inventor: Cary L. Bates  
Art Unit: Unassigned  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920030211US1

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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